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## CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing. CHECK BOX, if applicable  
(Only for Continuation or Divisional applications under 37 CFR 1.53(d)) ☐ DUPLICATE

Addressed to:

Commissioner for Patents  
Box CPA  
Washington, DC 20231

Attorney Docket No.	19789-8
First Named Inventor	van Ooij et al.
Examiner Name	Miachael LaVilla
Group Art Unit	1775
Express Mail No.	EL343341963US

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),  
(continued prosecution application (CPA)) of prior application number 09/554,869 filed on May 19, 2000,  
entitled Thermoplastic Resin Composition.

### NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a non-provisional application that is either (1) complete as defined by 37 CFR 1.51(b) or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior non-provisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice Changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gazz. Pat. Office (Apr. 11, 2000).

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

- ☐ Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 CFR 1.116 in the prior nonprovisional application.
- ☒ A preliminary amendment is enclosed.
- This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
  - ☐ DELETE the following inventor(s) named in the prior nonprovisional application:  
\_\_\_\_\_
  - ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
- ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- Information Disclosure Statement (IDS) is enclosed:
  - ☐ PTO-1449
  - ☐ Copies of IDS Citations

### CERTIFICATE OF MAILING

"Express Mail" mailing label #: EL343341963US

Date of Deposit: September 26, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box CPA; Commissioner for Patents; Washington, DC 20231.

Nik Dillingham

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	15 - 20 =	0	x \$18 =	\$00.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	1 - 3 =	0	x \$80 =	\$00.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ _____ =	--
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
				Total of above Calculations =	\$ 00.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.19, 1.27, 1.28)				--
				TOTAL =	\$ 740.00

6. Small entity status:

a. ☐ A small entity statement is enclosed.

b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.

c. ☐ is no longer claimed.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 04-1133:

a. ☒ Fees required under 37 CFR 1.16

b. ☒ Fees required under 37 CFR 1.17.

8. ☒ A check in the amount of \$1,660.00 is enclosed

9. ☐ Payment by credit card. Form PTO-2038 is attached.

10. ☐ Applicant request suspension of action under 37 CFR 1.103(b) for a period of \_\_\_\_ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.

11. ☐ New attorney docket number, if desired \_\_\_\_\_ (prior docket number will carry over if not provided).

12. a. ☐ Receipt for Facsimile-Transmitted CPA (PTO/SB/29A)

b. ☒ Return Receipt Postcard (should be specifically itemized, see MPEP 503)

13. ☒ Other: Preliminary Amendment and Request for Extension of Time.

**NOTE** The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

**14. NEW CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label 24256 or ☐ Correspondence Address Below

Name \_\_\_\_\_

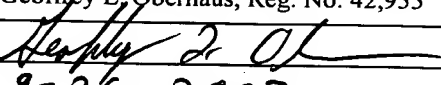
Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

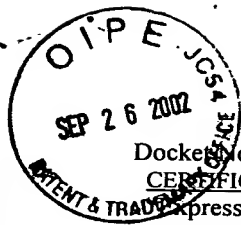
Country \_\_\_\_\_ Telephone \_\_\_\_\_ Fax \_\_\_\_\_

**15. SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED**

Name Geoffrey L. Oberhaus, Reg. No. 42,955

Signature 

Date 9-26-2002



Docket No. 19789-8

**CERTIFICATE OF MAILING**

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#15/PRE-AMS  
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**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: van Ooij et al. : Paper No.:  
Serial No.: 09/356,926 : Group Art Unit: 1775  
Filing Date: July 19, 1999 : Examiner: Michael LaVilla  
For: **SILANE COATINGS FOR METAL**

**PRELIMINARY AMENDMENT**

Box Patent Application  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Prior to calculation of the filing fee and first action by the Examiner, please amend the present continuation application as follows:

**In the Specification:**

Please amend Page 10, line 26 as follows:

--and bis-(trimethoxysilylpropyl)ethylene diamine--

**In the Claims:**

Please cancel claims 7, 8, 11 and 18-38.

Please amend claims 1, 9, 10, 12 and 13 as follows:

1. (Amended) A method of treating a metal surface, comprising the steps of:

(a) providing a metal surface, said metal surface chosen from the group

consisting of: